

## Risk Assessment

| Risk Detail                |   |
|----------------------------|---|
| COVID-19 Pandemic          |   |
| <b>Location</b>            | <b>All Delphi Locations :</b><br>Blackpool community , The Pavilion , HMP Manchester HMP Buckley Hall ,<br>HMP Garth & HMP Wymott   |
| <b>Source of Risk</b>      | Strategic Objectives <input type="checkbox"/><br>Incident / Complaint / Claim <input type="checkbox"/><br>Standards <input type="checkbox"/><br>Clinical <input checked="" type="checkbox"/><br>General Risk Assessment <input checked="" type="checkbox"/><br>Other (Pandemic) <input checked="" type="checkbox"/>   |
| <b>Description of Risk</b> | <p>Coronavirus disease (COVID-19) is an infectious disease. Most people infected with the COVID-19 virus will experience mild to moderate respiratory illness and recover without requiring special treatment. Older people and those with underlying medical problems like cardiovascular disease, diabetes, chronic respiratory disease, and cancer are more likely to develop serious illness and die.</p> <p>The COVID-19 virus is transmitted by contact, droplets and objects or materials where it may remain live for up to 72 hours. All Delphi staff have been encouraged to follow the current national guidance on COVID-19 precautions There are no specific vaccines or treatments for COVID-19.</p> <p>People who misuse or are dependent on drugs and alcohol may be at increased risk of becoming infected, and infecting others, with COVID-19. They may also be more vulnerable to the impact of infection with the virus, due to underlying health conditions; poverty and poor circumstances.</p> <p>A co-ordinated drug and alcohol treatment service is an essential response to the risk presented by COVID-19 as they will come under additional stress during this difficult and unsettling time.<br/>Managing the risk to both staff (109) and clients (1600+) is vital to protect life</p> <ol style="list-style-type: none"> <li><b>1. Risk is death of clients and/or staff</b></li> <li><b>2. Risk of financial instability is not applicable to HMP or Blackpool as a contracted service.</b></li> <li><b>3. Risk of financial instability at The Pavilion is addressed in Delphi Risk 4 re financial risk at The Pavilion</b></li> </ol> |

|   |  |             |            |
|---|--|-------------|------------|
| <b>Assessment and controls focuses on</b> | <ol style="list-style-type: none"> <li>1. Take into consideration health care setting i.e. Primary, Community or Hospital setting</li> <li>2. Potential exposure to SARS-CoV-2 in the workplace</li> <li>3. Application of an appropriate hierarchy of control measures including: » Elimination if possible » Reduction by hygiene measures.</li> <li>4. Election and correct use of PPE including training.</li> <li>5. Identify those individuals with increased vulnerability to infection or poorer outcomes from COVID-19. Specific long term health conditions » Older age » Pregnancy › BAME background if diabetes and hypertension.</li> </ol> |             |            |
| <b>Name of Assessor</b>                   | K Brown  |             |            |
| <b>Signature</b>                          | Via email  | <b>Date</b> | 18.05.2020 |

## Risk Assessment

**Risk Rating on Date Risk Added to Register:**

|                  | Likelihood        |           |             |             |         |
|------------------|-------------------|-----------|-------------|-------------|---------|
| Consequence      | Almost Certain(5) | Likely(4) | Possible(3) | Unlikely(2) | Rare(1) |
| Catastrophic(5)  | 18/05/2020        |           |             |             |         |
| Major(4)         |                   |           |             |             |         |
| Moderate(3)      |                   |           |             |             |         |
| Minor(2)         |                   |           |             |             |         |
| Insignificant(1) |                   |           |             |             |         |

**Current Risk Rating:** *The current Risk Rating after existing controls are applied . Each time you review the risk look at the rating here and adjust if appropriate*

|                  | Likelihood        |           |             |             |         |
|------------------|-------------------|-----------|-------------|-------------|---------|
| Consequence      | Almost Certain(5) | Likely(4) | Possible(3) | Unlikely(2) | Rare(1) |
| Catastrophic(5)  | 01/06/2020        |           |             |             |         |
| Major(4)         |                   |           |             |             |         |
| Moderate(3)      |                   |           |             |             |         |
| Minor(2)         |                   |           |             |             |         |
| Insignificant(1) |                   |           |             |             |         |

**Risk Update :**

**Next Review Date:** 01/07/2020

**Target Risk Rating :** *This is the target rating we should be aiming for ie once you completed all your actions*

|                  | Likelihood        |           |             |             |         |
|------------------|-------------------|-----------|-------------|-------------|---------|
| Consequence      | Almost Certain(5) | Likely(4) | Possible(3) | Unlikely(2) | Rare(1) |
| Catastrophic(5)  |                   |           | x           |             |         |
| Major(4)         |                   |           |             |             |         |
| Moderate(3)      |                   |           |             |             |         |
| Minor(2)         |                   |           |             |             |         |
| Insignificant(1) |                   |           |             |             |         |

## Risk Assessment

| Table 1 - Consequence                 |   |  |  |  |  |
|---------------------------------------|---|--|--|--|--|
| Description                           | Catastrophic(5)   | Major(4)   | Moderate(3)  | Minor(2)   | Insignificant(1)   |
| <b>Injury to staff or patient</b>     | Death or major permanent injury   | Major injuries or long term incapacity/ disability   | RIDDOR reportable semi-permanent damage or injury, 1yr to resolve                                    | Short term minor injury/illness req. first aid treatment               | Minor injury not requiring first aid   |
| <b>Patient experience</b>             | Totally unsatisfactory patient outcome / experience   | Mismanagement of patient care with long term effects   | Mismanagement of patient care with short term effects  | Unsatisfactory patient experience readily resolvable                   | Unsatisfactory patient experience not related to patient care                          |
| <b>Complaint/ claim potential</b>     | Multiple claims or single major claim   | Claim above excess level. Multiple justified complaints  | Below excess claim. Justified complaint involving care   | Justified complaint peripheral to clinical care                        | Locally resolved complaint   |
| <b>Objectives/ projects</b>           | >25% over budget/schedule slippage. Doesn't meet primary objectives   | 10-25% over budget/schedule slippage. Doesn't meet secondary objectives                              | 5-10% over budget/schedule slippage. Reduction in scope or quality                                   | <5% over budget/schedule slippage/ Minor reduction in scope or quality | Insignificant cost increase/schedule slippage. Barely noticeable reduction in scope    |
| <b>Service/ Business interruption</b> | Permanent loss of service or facility   | Loss/interruption > 1 week   | Loss/interruption > 1 day  | Loss/interruption > 8 hours  | Loss/interruption > 1 hour   |
| <b>HR / OD</b>                        | Non-delivery of key objectives/ service due to lack of staff. Very high turnover. Critical error due to insufficient training | Uncertain delivery of key objective due to lack of staff. Serious error due to insufficient training | Late delivery of key objective due to lack of staff. Ongoing unsafe staffing level. Training issues. | Ongoing low staffing level reducing service quality                    | Short term low staffing level temporarily reducing service quality for less than 1 day |
| <b>Financial</b>                      | Loss > £1,000,000   | Loss > £100,000  | Loss > £10,000   | Loss > £1,000  | Loss > £100  |
| <b>Inspection/ Audit</b>              | Prosecution. Zero rating. Severely critical report  | Enforcement action. Low rating. Critical report with challenging recommendations                     | Reduced rating. Challenging recommendations. Non-compliance with core standard                       | Recommendations given. Non compliance with standards                   | Minor recommendations. Minor non-compliance with standards                             |
| <b>Adverse Publicity/ Reputation</b>  | National media >3 days. MP concern  | National media < 3 days  | Local media – long term  | Local media – short term   | Rumours  |

| Table 2 – Likelihood |  |  |   |   |   |
|----------------------|--|--|---|---|---|
| Description          | Almost Certain(5)  | Likely(4)  | Possible(3)   | Unlikely(2)   | Rare(1)   |
|                      | Greater than 50% chance - More likely to occur than not/Expected to occur at least daily | Between 21 and 50% chance - Likely to occur/ Expected to occur at least weekly | Between 6 and 20% chance - Reasonable chance of occurring/ Expected monthly | Between 1 and 5% chance - Unlikely to occur/Expected to occur at least annually | Less than 1% chance - Will only occur in exceptional circumstance |

| Table 3 – Risk Rating |  |   |  |                            |
|-----------------------|--|---|--|----------------------------|
| Description           | High Risk(15-25)                             | Med Risk(9-14)  | Low Risk(5-8)  | V. Low Risk(1-4)           |
|                       | To be resolved or accepted at Director level | Needs to be resolved or accepted at Delphi Risk Meeting level | Needs to be resolved or accepted at Local Management level | Unlikely to cause problems |